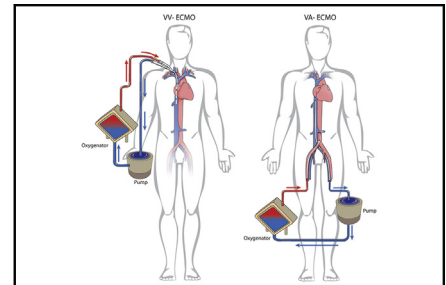


Contemporary extracorporeal membrane oxygenation therapy in adults: Fundamental principles and systematic review of the evidence

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ABSTRACT

Extracorporeal membrane oxygenation (ECMO) provides days to weeks of support for patients with respiratory, cardiac, or combined cardiopulmonary failure. Since ECMO was first reported in 1974, nearly 70,000 runs of ECMO have been implemented, and the use of ECMO in adults increased by more than 400% from 2006 to 2011 in the United States. A variety of factors, including the 2009 influenza A epidemic, results from recent clinical trials, and improvements in ECMO technology, have motivated this increased use in adults. Because ECMO is increasingly becoming available to a diverse population of critically ill patients, we provide an overview of its fundamental principles and a systematic review of the evidence basis of this treatment modality for a variety of indications in adults. (*J Thorac Cardiovasc Surg* 2016;152:20-32)



Commonly implemented venovenous (VV) and venoarterial (VA) extracorporeal membrane oxygenation circuit cannulation schemes.

Central Message

We provide an overview of the fundamental principles of extracorporeal membrane oxygenation and a systematic review of the evidence basis of this treatment modality for a variety of indications in adults.

Perspective

A variety of factors, including the 2009 influenza A epidemic, results from recent trials, and improvements in technology, have motivated increased use of ECMO. We provide a systematic review of the evidence basis for a variety of ECMO indications in adults because ECMO is increasingly becoming available to a diverse population of critically ill patients.

See Editorial Commentary page 32.

Whereas standard cardiopulmonary bypass is designed to ensure minutes to hours of support for patients undergoing surgery, extracorporeal membrane oxygenation (ECMO) provides support to patients with respiratory, cardiac, or combined failure for days to weeks. For patients with isolated respiratory failure, venovenous (VV) ECMO is typically employed to provide support while the lungs

recover. Venoarterial (VA) ECMO is available for cases of cardiac or cardiopulmonary failure. The Extracorporeal Life Support Organization is an international consortium of health care institutions that maintains a registry of ECMO use. As of January 2016, the Extracorporeal Life Support Organization has captured more than 73,000 ECMO implementations, with more than 19,000 in adult patients (Figure 1).¹ The volume of adult ECMO cases increased by 433% from 2006 to 2011 in the United States,² with corresponding increases in expenditures and resource use.³

The use of ECMO in adults has expanded due to several factors: the global pandemic of the novel influenza A virus led to an a higher incidence of acute respiratory distress syndrome (ARDS) refractory to conventional therapy⁴; improvements in technology allowed more successful applications of ECMO⁵; an infrastructure of specialized

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Abbreviations and Acronyms

ARDS	= acute respiratory disease syndrome
C-CPR	= conventional cardiopulmonary resuscitation
E-CPR	= extracorporeal cardiopulmonary resuscitation
ECMO	= extracorporeal membrane oxygenation
LVAD	= left ventricular assist device
PGD	= primary graft dysfunction
PCS	= postcardiotomy shock
VA	= venoarterial
VV	= venovenous

centers, capable of transporting patients requiring ECMO from outside facilities to their intensive care units, has been developed⁶; and a prospective randomized controlled trial recently promoted the efficacy of ECMO in adults with ARDS.⁷ Because ECMO is increasingly becoming available to a diverse population of critically ill patients, it is appropriate to highlight the fundamental principles of this therapy and systematically review the evidence basis for ECMO across a variety of indications.

ECMO CIRCUITS AND EQUIPMENT**ECMO Circuits**

The basic ECMO circuit consists of a blood pump, the membrane oxygenator, conduit tubing, a heat exchanger, and drainage and return cannulae. The circuit drains blood from the venous system and pumps it through a membrane oxygenator before returning newly oxygenated blood to the patient. By facilitating oxygen and carbon dioxide exchange, ECMO allows for reduction of ventilator settings to diminish the potential for lung injury. The major difference between VA and VV ECMO circuits is the types of cannulae and location of their insertion.

Modes of Vascular Access

VA ECMO is designed to provide cardiac support in addition to respiratory support. Deoxygenated blood is drained from the venous system, and oxygenated blood is returned into the arterial circulation, in a similar fashion to standard cardiopulmonary bypass. The pulmonary circulation is bypassed by placing the artificial lung in parallel with the native lungs.⁸ Cannulation can be obtained centrally (blood drained directly from the right atrium and returned to the proximal ascending aorta) or peripherally (blood drained from the proximal femoral or jugular vein and returned to the carotid, axillary, or femoral artery), typically using the Seldinger technique via an open or percutaneous approach.⁹ In cases of femoral arterial cannulation, a high risk of distal limb ischemia has motivated many centers to place an ipsilateral perfusion catheter proactively.^{10,11}

During ECMO support in the setting of severe myocardial dysfunction, the left atrial and left ventricular end-diastolic pressures can increase to extremely high levels. To prevent left heart distention, a transseptal drainage cannula can be placed to decompress the left atrium¹² or an Impella 2.5 (Abiomed, Danvers, Mass) left ventricular assist device (LVAD) can be placed to unload the left ventricle.^{13,14} The successful management of a case of acute cardiopulmonary failure with a hybrid configuration of VV ECMO and an Impella 5.0 (Abiomed) was recently described, as well.¹⁵ Although this hybrid configuration offered the advantage of uncoupling heart and lung recovery, more evidence is required before its use should become routine. VA ECMO remains the best option for acute cardiopulmonary arrest due to the rapidity with which it can be deployed.¹⁶ After initiation of VA ECMO, clinicians can confirm successful decompression of the left heart using echocardiography.

Another important consideration for peripherally cannulated VA ECMO is monitoring of cerebral oxygenation. In patients with both poor heart and lung function, oxygenated blood returning from the ECMO circuit will initially provide antegrade flow beyond the site of arterial cannulation and retrograde flow to the coronary and cerebral circulations. As heart function improves, competitive flow between native cardiac output and the arterial cannulation may cause a distal shift in the location of blood mixing. Even when ECMO flow is adequate and the oxygenator is functioning properly, this shift can result in deoxygenated blood circulating through the coronary and cerebral circulations if lung function remains poor or ventilator settings are inadequate. Peripheral blood gas monitoring through the right radial artery (except in cases of right common carotid or right axillary artery cannulation) can be employed to detect coronary and/or cerebral hypoxemia and to guide ventilator and ECMO circuit management.¹⁷

VV ECMO is designed to provide partial or complete respiratory support without any cardiac support, so VV ECMO is indicated only when native cardiac output is sufficient. VV ECMO places the native lungs in series with the artificial lung.⁸ In adults, several cannulation options currently exist. Traditionally, deoxygenated blood is drained from a femoral vein and returned to the right internal jugular vein.¹⁸ More recently, a bicaval dual-lumen catheter has been developed to provide both drainage and return directly into the right atrium via the internal jugular vein.⁵ Potential benefits of the single cannulation technique include reduced recirculation (the phenomenon of oxygenated blood returning from the ECMO unit being drained immediately by the outflow cannula, creating a closed extracorporeal circulatory loop that decreases systemic oxygenation), liberation of the femoral vein to allow patient mobility, and reductions in cannulation site infection risk. For patients in whom internal jugular vein access is not available, bifemoral vein

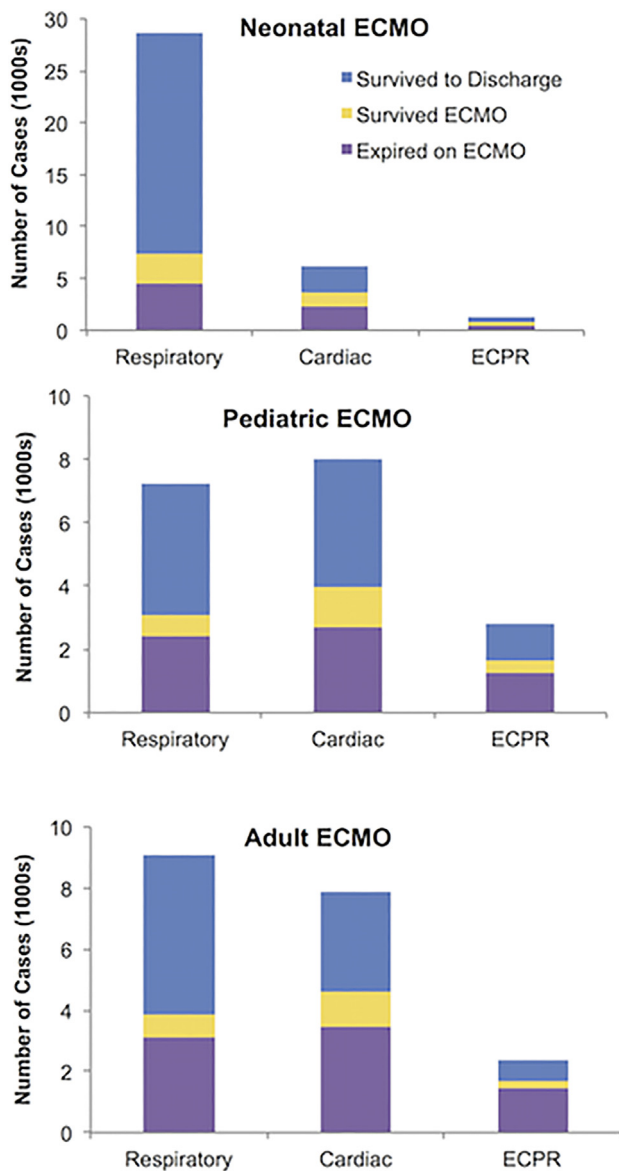


FIGURE 1. Outcomes of all extracorporeal membrane oxygenation cases recorded by the Extracorporeal Life Support Organization (ELSO) from 1985 through 2015, organized by cardiac, respiratory, and cardiopulmonary resuscitation (E-CPR) indications. *ECMO*, Extracorporeal membrane oxygenation; *ECPR*, extracorporeal cardiopulmonary resuscitation.

cannulation with drainage from the inferior vena cava and return to the right atrium is another option. Echocardiography and fluoroscopy can be implemented to assess for proper cannula placement in VV ECMO. A diagram of common cannulation schemes for both VA and VV ECMO is presented in [Figure 2](#).

Oxygenators and Pumps

ECMO oxygenators serve as artificial lungs to exchange both oxygen (to blood) and carbon dioxide (from blood) in place of the patient's native lungs. During the past decade, a

new generation oxygenator that combines the advantages and eliminates the disadvantages of previous machines has been introduced. The oxygenator incorporates a nonmicroporous polymethylpentene membrane into a hollow fiber structure. Polymethylpentene oxygenators have been associated with reduced red blood cell and platelet transfusion requirements, improved gas exchange, and greater durability than older units.¹⁹

Two types of pumps are currently available in ECMO units: roller and centrifugal (constrained vortex) pumps. Centrifugal pumps, which are smaller, move blood by creating a pressure differential across a pump head that contains a magnetically driven impeller spinning at up to 3000 revolutions/min. Roller pumps move blood by progressively compressing segments of tubing along a curved raceway. Centrifugal pumps have been associated with a lesser degree of hemolysis compared with roller pumps, and therefore the use of these pumps in adult patients is increasing.²⁰ However, a recent retrospective analysis demonstrated an increased risk for nonsurgical bleeding (gastrointestinal, pulmonary, and neurological) with centrifugal pumps despite lower levels of heparin anticoagulation.²¹

HISTORICAL FOUNDATION

Maximilian von Frey built the initial prototype heart-lung machine in 1885,²² but surgeon John Gibbon and his wife Mary were the first to develop a heart-lung machine that facilitated successful open heart surgery.²³ The Gibbons' heart-lung machine consisted of a simple blood pump for an artificial heart and direct exposure of the flowing blood to oxygen gas as the artificial lung. The direct exposure of blood to gas caused significant hematologic damage that limited the use of this machine to only 2 to 3 hours.²⁴ The insertion of a gas exchange membrane between flowing blood and the oxygen source prevented massive blood trauma and allowed for prolonged use of heart-lung machines.²⁵ The first membranes required large surface areas that were impractical for clinical application, but in the 1960s the synthesis of silicone rubber allowed for the miniaturization of the membrane and clinical use of the heart-lung machine for much longer periods of time.²⁶

The first human implementation of prolonged cardiopulmonary bypass (ie, ECMO) was reported in 1972 by Hill and colleagues.²⁷ The authors described a 24-year-old man who developed respiratory failure following aortic repair surgery for subadventitial transection of the thoracic aorta in a motor vehicle accident. The patient's respiratory failure worsened despite maximal conventional supportive therapy, so partial VA perfusion was initiated. After 75 hours, the patient's respiratory failure had improved such that he could be successfully weaned from ECMO, and the²⁸ reported a series of 28 patients (14 pediatric and 14 adult) who were treated with ECMO shortly thereafter.

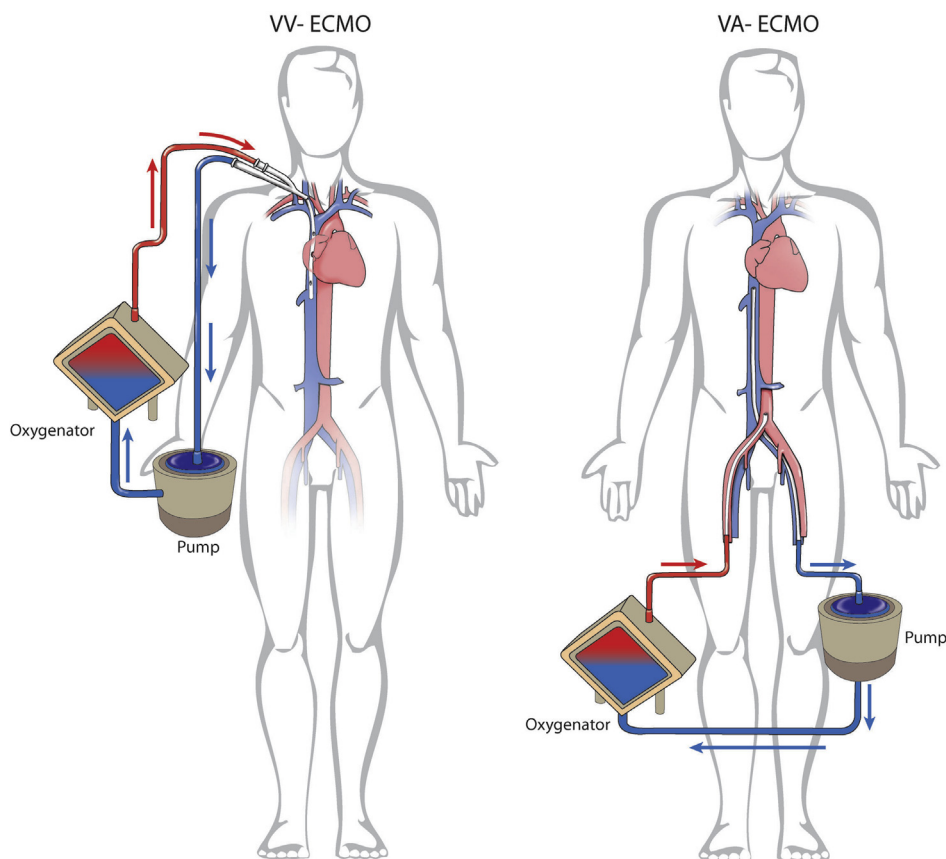


FIGURE 2. Commonly implemented venovenous (VV) (left) and venoarterial (VA) (right) extracorporeal membrane oxygenation (ECMO) circuit cannulation schemes.

Despite the fact that fewer than a third of patients demonstrated clinical improvement and only 5 (18%) were long-term survivors, the authors concluded, “ECMO support is lifesaving in selected cases of pulmonary insufficiency. Initial trials in cardiac failure and the infant age group in this series suggest that ECMO will have an even greater role in those applications.”²⁸ Herein, we aim to systematically review the contemporary evidence basis for ECMO, 40 years after this initial call for the increased role of ECMO in the treatment of critical cardiac and/or pulmonary failure.

SYSTEMATIC REVIEW

A systematic review of the evidence basis for ECMO therapy was conducted according to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses guidelines (Figure 3).²⁹ We performed a search of the PubMed/MEDLINE database for articles on ECMO therapy from inception to December 1, 2015. All articles with the term *extracorporeal membrane oxygenation*, *ECMO*, or *extracorporeal life support* located in the title, abstract, or key words were identified. Results were limited to articles written in the English language and reporting data on adult human subjects. Additionally, references from key

manuscripts were manually searched in a backward snowballing fashion for additional articles.

The resulting list of citations, minus duplicates, was screened at the title/abstract level to identify potentially pertinent studies. Articles that reported solely on nonhuman and/or nonadult subjects, were limited to case reports, lacked original data, or focused on topics not covered in this expert review were removed. The full texts of all remaining manuscripts were retrieved for further assessment. Inclusion criteria were study design providing the highest level of evidence for each indication for ECMO (varying from case series to randomized controlled trial, depending on indication³⁰) (Table 1), cohort studies must include at least 10 patients in the cohort receiving ECMO, and case series must include at least 100 subjects receiving ECMO. Sample-size cutoffs were chosen pre hoc in an attempt to limit the risks of imprecision and publication bias. An increased sample-size cutoff was instituted for case series due to the lower level of evidence provided by these studies. In cases where multiple publications reported overlapping data, only the article with the most encompassing data was included. Qualifying studies for each ECMO indication will be presented in the subsequent sections and summarized in corresponding tables.

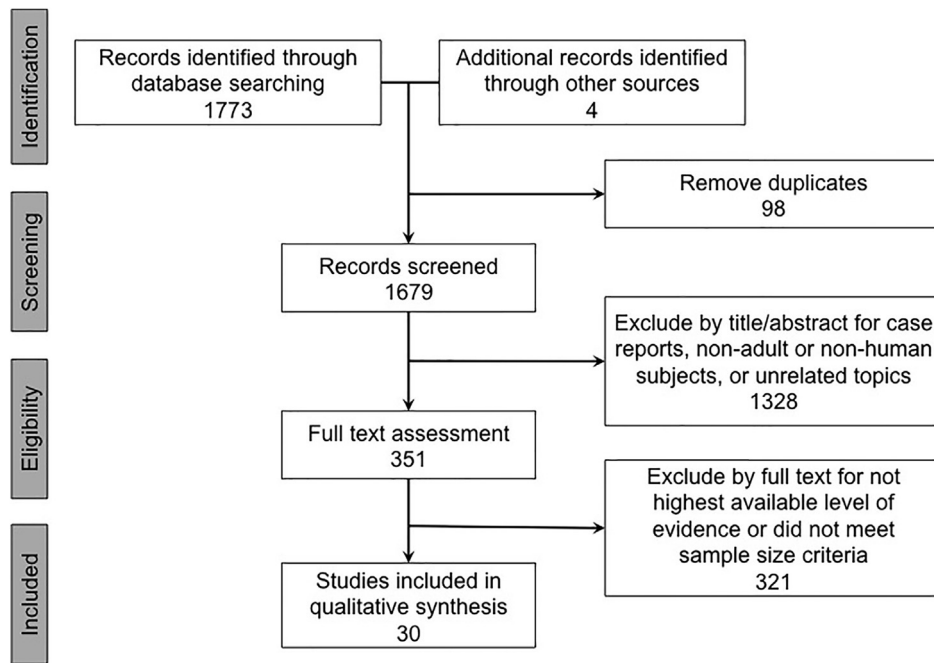


FIGURE 3. Flow diagram of the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) systematic review process.

ECMO APPLICATIONS IN RESPIRATORY FAILURE
ECMO for ARDS

The initial enthusiasm for the life-saving potential of ECMO for ARDS ultimately led to the completion of 3 randomized controlled clinical trials investigating ECMO’s performance against conventional therapy (Table 2).^{7,31,32} The first, published in 1979, compared VA ECMO with mechanical ventilation and reported >90% mortality for patients in each group.³¹ However, several components of

the study protocol deviated significantly from modern practice: VA ECMO was implemented instead of VV ECMO, high-pressure high-volume ventilation (mean tidal volume >13 mL/kg body weight) that prevented otherwise beneficial lung rest was inappropriately used, and blood loss in the ECMO group (mean 2.5 L/d) was much higher than would be expected today.

Gattinoni and colleagues³³ subsequently developed the VV ECMO technique, which allowed for the dissociation of oxygenation (via low-frequency positive-pressure ventilation) from carbon dioxide elimination (via extracorporeal circulation). This strategy facilitated improved lung-protective measures during mechanical ventilation that were lacking in the initial trial. A second randomized trial, composed of only 40 patients and published in 1994, compared the VV ECMO technique against a mechanical ventilation control group.³² In that study, mechanical ventilation outperformed ECMO, with 3-month survival limited to 42% and 33%, respectively. Although an improved ventilator management strategy for patients on ECMO was applied, excessive tidal volumes were maintained (mean tidal volume >8 mL/kg), and therefore complete lung rest was likely precluded in this trial as well. Excessive blood loss on ECMO (mean 2.7 L/d) was again present, and the study was performed by a center with extremely limited ECMO experience (only 2 humans and 7 sheep) before commencing patient enrollment.

In 2009, the Conventional Ventilator Support versus Extracorporeal Membrane Oxygenation for Severe Adult

TABLE 1. Highest level of evidence currently available for a variety of potential indications for extracorporeal membrane oxygenation in both respiratory and cardiac disease

Indication	Highest level of evidence
Respiratory	
ARDS	Randomized controlled trials
Bridge to lung transplantation	Cohort studies
PGD after lung transplantation	Cohort studies
Cardiac	
MI-associated cardiogenic shock	Historical cohort studies
Fulminant myocarditis	Cohort studies
Postcardiotomy cardiogenic shock	Case series
Extracorporeal cardiopulmonary resuscitation	Cohort studies with propensity analyses
PGD after OHT	Cohort studies
Bridge to VAD implantation or OHT	Cohort studies

ARDS, Acute respiratory distress syndrome; PGD, primary graft dysfunction; MI, myocardial infarction; OHT, orthotopic heart transplantation; VAD, ventricular assist device. Adapted from Abrams and colleagues.³⁰

TABLE 2. Summary of randomized controlled trials investigating extracorporeal membrane oxygenation (ECMO) therapy for adult acute respiratory disease syndrome (ARDS)

Study (y)	ECMO (No. patients)	Control (No. patients)	Outcome (% survival at primary end point)	Notes
Zapol and colleagues (1979)	VA ECMO (42)	Mechanical ventilation (48)	ECMO: 9.5% Control: 8.3%	Limitations of ECMO included VA cannulation, high positive pressure ventilation preventing lung rest, and excessive blood loss
Morris and colleagues (1994)	VV ECMO (21)	Mechanical ventilation (19)	ECMO: 33% Control: 42%	Limitations of ECMO included limited prior experience at trial center, high positive pressure ventilation preventing lung rest, and excessive blood loss
Peek and colleagues (2009)	VV ECMO (68/90)*	Mechanical ventilation (90)	ECMO: 63% Control: 47%	Intention-to-treat analysis; first RCT allowing modern lung-protective mechanical ventilation strategies in study groups (although not required)

ECMO, Extracorporeal membrane oxygenation; VA, venoarterial; VV, venovenous; RCT, randomized controlled trial. *Only 68 out of 90 (75%) patients randomized to consideration for ECMO actually received ECMO therapy.

Respiratory Failure trial produced results suggesting that modern ECMO may indeed fulfill the expectations of its earliest proponents. This study compared 180 adults randomized to conventional ventilatory support against consideration for VV ECMO, with encouragement for both groups to be placed on modern ventilation protocols that included lung protective measures (tidal volume, 4-8 mL/kg).⁷ At 6 months of follow-up, patients randomized to consideration for ECMO had superior survival with absence of disability (63% ECMO vs 47% conventional therapy; relative risk for mortality or severe disability, 0.69; $P = .03$). This study has its own notable limitations, including that only 75% of patients randomized to consideration for ECMO actually received this therapy. Moreover, the pragmatic trial design did not mandate a ventilation strategy for the control group, and, although a modern lung-protective strategy was strongly advised, only 70% of control patients were managed with a lung-protective strategy versus 93% of patients given consideration for ECMO ($P < .0001$). Despite the trial's success, these limitations make it difficult to objectively assess the isolated effect of ECMO therapy on survival in patients with ARDS.

A fourth randomized controlled trial investigating the use of ECMO in ARDS is scheduled to complete data collection during early 2016 (<https://clinicaltrials.gov/ct2/show/NCT01470703>). The design of the international Extracorporeal Membrane Oxygenation for Severe Acute Respiratory Distress Syndrome trial may clarify the findings of the Conventional Ventilator Support versus Extracorporeal Membrane Oxygenation for Severe Adult Respiratory Failure trial, because patients will be randomized to initiation of VV ECMO versus conventional mechanical ventilation with lung-protective strategies (tidal volume, 6 mL/kg). Results of this investigation are eagerly anticipated.

ECMO and Lung Transplantation

Although outdated guidelines have listed ECMO as a relative contraindication to lung transplantation, the use of ECMO for bridging patients with end-stage pulmonary failure to lung transplantation has been increasing dramatically: from only 4 patients between 2000 and 2002 to 67 patients in 2009 to 2011 documented in the United Network for Organ Sharing database.³⁴ To bridge patients to lung transplantation, clinicians have applied both VV and VA ECMO, depending on patient hemodynamic stability and the presence of pulmonary hypertension.

Four centers have published cohort studies comparing patients bridged to lung transplantation with ECMO with those not requiring mechanical support (Table 3).³⁵⁻³⁸ These reports document attempts to bridge patients for a mean duration of 4 to 14 days with 75% to 90% of patients successfully bridged to transplantation and 1-year posttransplant survival between 60% and 93%. Two of the studies demonstrated noninferior survival in the ECMO-bridged group.^{35,38} A third study reported lower overall survival in bridged patients at 1, 3, and 5 years but no significant differences at these intervals after analysis conditioned upon initial 3-month survival.³⁶ The final study compared ECMO-bridged patients with other high-acuity patients who did not receive mechanical support.³⁷ Although overall survival was inferior in the ECMO-bridged group, 1-year survival in the ECMO group was exceptional (93%). Taken together, these results suggest that ECMO-bridged transplant recipients may be subject to an increased risk for early morbidity and mortality, but can be successfully transplanted with excellent results, especially considering the likely 100% mortality for such patients without the option for ECMO-bridging.

ECMO has also been used for patient support after lung transplantation, particularly in patients with severe primary

TABLE 3. Cohort studies reporting the 1-year survival outcomes of extracorporeal membrane oxygenation (ECMO) therapy for bridge to lung transplantation in adults

Study (y)	ECMO support (No. patients)	Successfully ECMO-bridged (No. patients [%])	Mean bridging time (d)	1-y Survival		P value
				Bridged recipients (%)	Nonbridged recipients (%)	
Shafiq and colleagues (2012)	19	14 (74)	6.0	75	NR*	.98
Lang and colleagues (2012)	38	34 (89)	4.5	60	72	.028
Hoopes and colleagues (2013)	35	31 (87)	13.7	93	NR†	<.001
Toyoda and colleagues (2013)	31	24 (77)	7.1	74	83	.787

ECMO, Extracorporeal membrane oxygenation; NR, not reported. *Specific survival rate not reported for nonbridged group, but Kaplan-Meier survival analysis performed for comparison demonstrated no significant difference. †Specific survival rate not reported for nonbridged group, but Kaplan-Meier survival analysis performed for comparison demonstrated a significantly higher survival rate in the nonbridged group.

graft dysfunction (PGD).³⁹⁻⁴⁴ Patients requiring ECMO support for severe PGD have inferior survival compared with patients without PGD, but these patients' outcomes have matched those of patients with less severe PGD not requiring ECMO. Both VA and VV ECMO have been used to allow for recovery of the lung allograft, but VV ECMO is now favored after Hartwig and colleagues⁴⁰ demonstrated significantly better results using this cannulation strategy (30-day survival, VA 13% vs VV 88%). The improved outcomes with VV ECMO were most likely due to the lower frequencies of devastating neurological complications and sepsis with this strategy. Subsequently, Hartwig and colleagues⁴⁴ have described patient outcomes after designating VV ECMO as the standard modality of treatment at their center, with 30-day survival of 82% in the ECMO group versus 97% in the non-ECMO group. Despite the impressive rate of survival after ECMO support, maximum allograft function in ECMO recipients was considerably diminished as compared to non-ECMO recipients at 3 years (peak forced expiratory volume in 1 second, ECMO 58% vs non-ECMO 83%; $P = .001$).

ECMO APPLICATIONS IN CARDIAC FAILURE

ECMO for Cardiogenic Shock

Cardiogenic shock has a variety of etiologies, including postcardiotomy shock, fulminant myocarditis, and shock secondary to acute myocardial infarction. ECMO and other mechanical circulatory support systems may offer an advantage over conventional medical therapy for cardiogenic shock.³⁰ Medical agents, including inotropes and vasopressors, improve cardiac output through mechanisms that increase myocardial oxygen use and increase risk for myocardial ischemia, cardiac arrhythmias, and tissue hypoperfusion. Mechanical support systems, on the other hand, increase cardiac output without placing additional demands on myocardial tissue. ECMO, in particular, offers unique advantages such rapid insertion times, biventricular support, and ability to allow for lung rest in cases of concomitant pulmonary failure.³⁰ Cohort studies investigating the outcomes of patients treated with

VA ECMO for cardiogenic shock in several well-studied etiologies are summarized in Table 4.

A variety of studies have attempted to isolate cardiogenic shock resulting from individual etiologies to determine the effectiveness of ECMO salvage in each disease. For patients experiencing profound cardiogenic shock secondary to acute myocardial infarction, the availability of ECMO therapy appeared to offer a survival advantage in 2 historical cohort studies.^{45,46} Both studies compared survival in a more recent era in which ECMO therapy was available against distant eras without the option for ECMO, so a potential bias may have been introduced into these studies based on other care improvements available only in the modern eras. Another factor limiting the isolation of the true efficacy of ECMO therapy is that, in the modern cohorts, not all patients actually received ECMO (21% and 55%, respectively, were placed on ECMO). Nevertheless, in cases of profound cardiogenic shock-complicated acute myocardial infarction, the availability of ECMO to salvage patients before or during percutaneous coronary intervention appears to improve short-term survival, with approximately 70% of patients surviving to 30 days.

Similarly, ECMO has been applied to rescue patients with cardiogenic shock of a nonischemic etiology, including fulminant myocarditis.⁴⁷ In a study with relatively small cohorts, patients with fulminant myocarditis, defined as those patients without sufficient response to maximum medical therapy for acute myocarditis, achieved a successful wean rate of 71%, with all weaned patients surviving long-term (median follow-up, 50 months).

ECMO has also been increasingly used to support patients suffering postcardiotomy shock (PCS). Given the certain mortality of patients with PCS not offered ECMO salvage, only case series are currently available to assess the effectiveness of ECMO for PCS, 4 of which report outcomes in at least 100 patients.⁴⁸⁻⁵¹ Although survival remains relatively scarce in these case series (25%-42%), VA ECMO clearly offers an advantage to patients and surgeons who have virtually no other alternative in the rare instances of PCS. An encouraging finding that has been replicated in

TABLE 4. Cohort studies and case studies reporting the outcomes of extracorporeal membrane oxygenation (ECMO) therapy for cardiogenic shock

Study (y)	Etiology (duration)	ECMO group (No. patients)	Control group (No. patients)	Primary survival analysis		P value
				ECMO group (%)	Control group (%)	
Sheu and colleagues (2010)	AMI (30 d)	115*	219	70	58	.04
Tsao and colleagues (2012)	AMI (30 d)	33*	25	67	32	.001
Asaumi and colleagues (2005)	FM (acute period)	14	13†	71	100	NR
Elsharkawy and colleagues (2010)	PCS (to discharge)	233	–	36	–	–
Wu and colleagues (2010)	PCS (to discharge)	110	–	42	–	–
Rastan and colleagues (2010)	PCS (to discharge)	517	–	25	–	–
Park and colleagues (2014)	PCS (to discharge)	115	–	28	–	–

ECMO, Extracorporeal membrane oxygenation; AMI, acute myocardial infarction; FM, fulminant myocarditis; NR, not reported; PCS, postcardiotomy shock. *In cardiogenic shock-AMI studies, ECMO group consisted of patient cohorts for whom ECMO was available, although not all patients in the cohort were placed on ECMO. Control group was a historical cohort for whom ECMO was not available. †Control group in this FM study was patients classified with non-FM; that is, those patients who responded to conventional medical therapy without the need for mechanical support.

several studies is excellent long-term survival in patients surviving the acute perioperative period. Only sparse data are available to suggest which patients are most likely to survive ECMO salvage for PCS, but recent investigations have identified serial blood lactate measurements in the acute 24-hour period following initiation of ECMO as a simple, reliable tool to monitor for inadequate tissue perfusion, which appears to be a strong marker of mortality.⁵¹

ECMO and Cardiac Transplantation

In a manner similar to lung transplantation, ECMO has been applied to bridge patients to orthotopic heart transplantation and to support patients with PGD posttransplantation. A pair of key studies have investigated optimal bridging pathways for patients requiring cardiac transplantation and/or LVAD support.^{52,53} In the first, patients with refractory cardiogenic shock were treated using mechanical assistance with intent to bridge to transplantation.⁵² Those with cardiac arrest or severe hemodynamic instability with evidence of multiorgan failure were initially placed on ECMO (n = 14), and the others with less severe status (n = 18) were immediately implanted with LVADs. Of the patients on ECMO, a total of 7 (50%) eventually received LVADs and 1 was directly transplanted. Ultimately, 5 of the ECMO-to-LVAD patients were transplanted, and all 6 ECMO patients receiving transplantation survived to hospital discharge. Of the patients surviving ECMO-to-LVAD or ECMO-to-transplant, 1-year survival (71%) was no different than the group directly implanted with LVADs (75%). These results suggest that ECMO resuscitation is an effective, resource-sensitive strategy to salvage patients in extremis, rather than the immediate implantation of a LVAD, which can instead be offered following a period of ECMO support with no impact on subsequent survival.

More recently, an analysis of the United Network for Organ Sharing database from 2000 to 2010 determined

that isolated ECMO-bridging of patients increased the risk of death following transplantation (hazard ratio, 2.2 vs no mechanical support; $P < .001$), but that patients initially supported with ECMO who were transitioned to LVAD-only support had comparable posttransplantation survival to patients who had LVAD-only support from the time of listing.⁵³ Considering these 2 key studies together, the appropriate role for ECMO in bridging to cardiac transplantation appears to be in the initial resuscitation of patients before implantation of LVAD devices in eligible candidates.

ECMO has also been used for patient support following heart transplantation in cases of PGD (Table 5).⁵⁴⁻⁵⁶ Not surprisingly, patients requiring ECMO support for PGD have decreased 30-day survival compared with patients without PGD and not requiring support. However, in each of the available studies comparing these groups, conditioned survival analyses have determined that patients with PGD supported by ECMO who survive beyond the acute posttransplantation period have equivalent survival to non-ECMO patients. Landmark analyses performed as early as 30 days postoperatively demonstrate survival equivalence in the ECMO salvage groups, suggesting that early ECMO support may not have long-term consequences on cardiac graft function if the supported patient survives salvage therapy.

ECMO for Cardiopulmonary Resuscitation

Finally, the use of ECMO for cardiopulmonary resuscitation (E-CPR) after cardiac arrest has been increasing in recent years. Several Asian centers have reported superior outcomes in patients treated with E-CPR compared with conventional CPR (C-CPR) in both inpatient⁵⁷⁻⁵⁹ and out-of-hospital settings (Table 6).^{60,61} Despite the improved survival in the E-CPR cohorts, survival remains relatively low, with out-of-hospital arrests (15%-28% survival at 3 months) not surprisingly experiencing decreased survival rates compared with

TABLE 5. Cohort studies reporting the outcomes of patients treated with extracorporeal membrane oxygenation (ECMO) for primary graft dysfunction following orthotopic heart transplantation

Study (y)	ECMO group (No. patients)	Comparison group (No. patients)	30-D or to-discharge survival		P value
			ECMO group (%)	Control group (%)	
D'Alessandro and colleagues (2010)	54	304	50	87	NR
Marasco and colleagues (2010)	39	185	74	NR*	.007
Listijono and colleagues (2011)	17	107	82	100	<.001

ECMO, Extracorporeal membrane oxygenation; NR, not reported. *Specific survival rate not reported in the control group, but Kaplan-Meier survival analysis performed for comparison demonstrated a significantly higher survival rate in the control group.

in-hospital arrests (29%-31% at 6 months). Survival benefits of E-CPR appear to extend several years beyond the acute cardiac arrest.⁵⁸ A secondary advantage of E-CPR is a demonstrated trend toward improved long-term neurologic status in successfully resuscitated patients. Despite the success of E-CPR compared with C-CPR, the low survival rates reported in these studies suggests that further research is necessary to determine which patients are likely to benefit from E-CPR. Limited data on predictive factors are currently available, but reported variables include younger age, C-CPR duration <35 minutes before initiation of E-CPR, pupil size on arrival to the hospital, and subsequent coronary intervention.^{59,60} The recently updated American Heart Association guidelines on CPR reflect both the rise of E-CPR as a viable option in cardiac arrest and the importance of proper patient selection for E-CPR, stating that candidates should have a suspected etiology of arrest that is reversible during a short period of ECMO support.⁶²

COMPLICATIONS

Technologic improvements have recently led to the development of portable, self-contained, miniaturized ECMO systems that may reduce the incidence of certain complications.^{63,64} These devices represent an improvement in traditional homemade ECMO circuits for a variety of reasons. Most importantly, the systems have undergone design verification and validation by their manufacturers, which reduces risk for circuit-related complications and informs routine circuit monitoring. The component integration of the systems also increases ease of use and allows for rapid deployment within 15 minutes. System portability facilitates patient transfer within the same hospital, to the computed tomography scanner for instance, or even from 1 care facility to another.⁶⁵

Nevertheless, given the complexity of ECMO therapy and the severity of patient pathology, the high risk for complications, even with modern ECMO, is not surprising. Complications can arise from patient factors or malfunctions of ECMO circuit components. Regular monitoring and testing is essential to identify complications or potential complications early so that they can be addressed rapidly or avoided altogether.

Two critical circuit complications may require the immediate clamping and discontinuation of ECMO to facilitate resolution: gas embolism and massive blood loss secondary to tubing ruptures or disconnections. Gas emboli are a risk particularly with centrifugal pumps because these units generate a negative pressure between the drainage cannula and the pump head. If negative pressures become too extreme, air entrapment in this section of the circuit may result in massive gas embolism. Gas emboli occur in <2% of ECMO runs in adults.⁶⁶

Other ECMO circuit complications include blood clots, loss of circuit flow (usually secondary to hypovolemia), and primary failure of circuit components. Very limited data are available regarding how often these technical complications occur in modern units. Lubnow and colleagues⁶⁷ recently published an analysis of technical complications occurring in a series of 265 ARDS patients treated with modern miniaturized VV ECMO units. The authors determined that 83 (31%) patients required at least one system exchange due to technical complications with the ECMO circuit. In 45% of these cases, the exchange was required on an acute, rather than elective, basis. The most common technical complications were progressive clot formation (50.6%), acute clot formation (34.9%), and primary mechanical failures (9.6%), among others. No instances of gas emboli or tubing rupture were reported. Three patients did require bridging by hand-crank, all in cases of pump drive failure. ECMO circuits should be equipped with a back-up battery or hand crank in the case of power or mechanical failures. Although modern ECMO units have reduced the incidence of mechanical failures in VA ECMO applications, technical complications remain frequent in this cannulation strategy, as well.⁶⁸

Even with a properly functioning circuit, patients are subject to a wide variety of complications while on ECMO support. Patients are particularly susceptible to bleeding (at the insertion site, surgical site, intracranially, or intra-abdominally) due to required anticoagulation therapy as well as thrombocytopenia and clotting factor deficiencies that are common while on ECMO. Platelets, leukocytes, and clotting factors are activated and consumed

TABLE 6. Cohort studies with propensity analyses reporting the outcomes of patients treated with extracorporeal membrane oxygenation (ECMO) for extracorporeal cardiopulmonary resuscitation (E-CPR)

Study (y)	Setting (duration)	E-CPR group (No. patients)	C-CPR group (No. patients)	Primary survival analysis		P value
				ECMO group (%)	Control group (%)	
Chen and colleagues (2008)	In hospital (to discharge)	59	113	28.8	12.3	<.0001
Shin and colleagues (2011, 2013)	In hospital (to discharge)	85	321	34.1	12.1	<.001
Maekawa and colleagues (2013)	Out of hospital (to 3-mo)	53	109	28.3	4.6	<.0001
Kim and colleagues (2014)	Out of hospital (to 3-mo)	55	444	14.5	9.9	.346*

All studies performed propensity matching, but the number of patients and survival reported in the Table represent the entire cohort included in each study, before propensity matching. In all studies demonstrating a significant difference in survival at the primary end point favoring E-CPR in the raw analysis, superiority of E-CPR was maintained after propensity matching. *E-CPR*, Extracorporeal cardiopulmonary resuscitation; *C-CPR*, conventional cardiopulmonary resuscitation; *ECMO*, extracorporeal membrane oxygenation. *E-CPR demonstrated superior survival with desirable neurologic outcomes at 3 months following cardiac arrest after propensity matching (E-CPR, 15.4% vs C-CPR, 1.9%; $P = .03$).

when blood contacts the foreign material in the ECMO tubing. Therefore, the majority of patients on ECMO are thrombocytopenic and at risk for bleeding complications.

The reported rates of adverse bleeding events vary between series, often depending on the particular definitions applied in a given report. A meta-analysis on the frequency of a variety of reported complications in 20 studies on more than 1800 patients treated with VA ECMO for cardiogenic shock or cardiac arrest identified 5 studies reporting serious bleeding events and surmised that such events occur in 40.8% of patients (95% confidence interval [CI], 26.8%-56.6%).⁶⁹ Each of the 5 studies defined and labeled the complication of serious bleeding differently (“major bleeding,” “massive bleeding,” “severe bleeding,” “bleeding and hematoma,” and “bleeding and tamponade”), an interesting anomaly that highlights the variability in reporting of ECMO complications. A recently published analysis from a large series of all-comer ECMO patients at a single-center found that 56% of patient experienced a serious bleeding event, with a rate of bleeding at 10 events per 100 days of ECMO therapy and a trend toward decreased 90-day survival in patients who bled (46.7% vs 64.9%, respectively; $P = .08$).⁷⁰ In this cohort, major bleeding events were more common in VA patients (68.5%; 19 events per 100 days) than the VV group (39.1%; 6 events per 100 days).

ECMO is complicated by events in a variety of other systems, including the renal, musculoskeletal, and immune systems. As with bleeding events, the rates of complications in these systems tend to vary between reports and by whether VA or VV ECMO is implemented. The above-mentioned meta-analysis of VA ECMO reported high rates of acute kidney injury (55.6%; 95% CI, 35.5%-74.0%) and requirement for renal replacement therapy (46%; 95% CI, 36.7%-55.5%).⁶⁹ Lower extremity ischemia, need for lower extremity fasciotomy, and systemic infections also complicated many of the ECMO runs. Despite the large number of patients included in the meta-analysis, the tremendous variability in both of which complications were reported

and how each was defined limits the generalizability of the study’s findings, as is indicated by the dramatic 95% CIs reported by the authors.

Neurologic complications during ECMO are particularly devastating. The most common neurologic complications include intracerebral hemorrhage, ischemic stroke, and seizures. Intracerebral hemorrhage, typically a fatal complication, is a significant risk due to the challenge of balancing adequate systemic anticoagulation therapy with the thrombocytopenia manifested in the majority of ECMO patients. Assessing a patient’s neurological status can be difficult due to the sedatives and paralytics often prescribed during ECMO. Therefore computed tomography and electroencephalography serve as useful tools to assess for intracranial bleeds and neurologic activity, respectively.⁷¹

The lack of informative data on ECMO complications and the high degree of variability in the data that are available derives from the fact that clinicians predominantly rely on retrospective, observational studies to determine the incidence of these events. Moreover, the diverse array of indications for ECMO adds to the variable manifestation of complications from one series to the next. Given the challenges in accounting for complications and the inconsistencies in definitions applied to categorize them in the present literature, a standardized method by which to document and classify ECMO complications would likely improve the utility of ECMO reports in the future. By standardizing the definitions of ECMO complications, similar to the way in which end point definitions have been clearly defined for transcatheter aortic valve replacement,⁷² a better understanding of the risks of ECMO could be established.

WEANING FROM ECMO

Weaning patients from ECMO support, if properly managed, is somewhat simplified because the pump should constantly be generating the minimal flow necessary to provide adequate support at low ventilator settings and inotrope doses. Thus, ECMO support should naturally be

reduced as native organ function improves. The earliest sign of cardiac recovery in patients on VA ECMO is the return of pulsatility on the arterial waveform. Cardiac function and hemodynamic parameters can also be continually assessed with transesophageal echocardiography during weaning.⁷³ A variety of metrics are available to determine if patients on VV ECMO are recovering pulmonary function: an increase in oxygen saturation at a constant circuit flow; a progressive increase of oxygen saturation above venous oxygen saturation, improving lung compliance, and clinical improvements in chest radiography.

ECMO should be continued only if reasonable hope remains for survival in a condition that is acceptable to the patient and/or their family. Therefore, frequent and honest communication with the patient's family members is essential throughout the duration of ECMO therapy. A reasonable deadline for organ recovery or replacement should be set early in the course of ECMO so that decisions regarding futility can be made with as much objectivity as is possible. The majority of patients successfully weaned from VA ECMO for cardiac failure after 2 to 5 days of support.⁵⁰ Recovery of pulmonary function on VV ECMO typically takes longer, with 1 to 3 weeks the most frequently reported interval of success.⁷⁴ However, recently published, limited evidence suggests that modern VV ECMO support beyond 3 weeks may effectively allow bridging of patients to recovery or transplantation in select cases.⁷⁵ Successful bridge to transplantation after VV ECMO therapy has been reported as many as 155 days after initiation of support,⁷⁶ and successful weaning to complete recovery has been reported as many as 117 days after initiation of ECMO.⁷⁷

CONCLUSIONS

ECMO provides days to weeks of support to patients with respiratory, cardiac, or combined cardiopulmonary failure. The indications for and implementations of ECMO have continued to diversify during the past decade due to a variety of factors that have promoted its utility. ECMO will increasingly become available to a wide population of critically ill patients in the coming years. Therefore, it is essential for clinicians to be familiar with the contemporary evidence basis and management of ECMO therapy.

Conflicts of Interest Statement

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Key Words: extracorporeal membrane oxygenation, adult respiratory distress syndrome, extracorporeal cardiopulmonary resuscitation, cardiogenic shock, systematic review

EDITORIAL COMMENTARY

Conventional extracorporeal membrane oxygenation therapy in the current era

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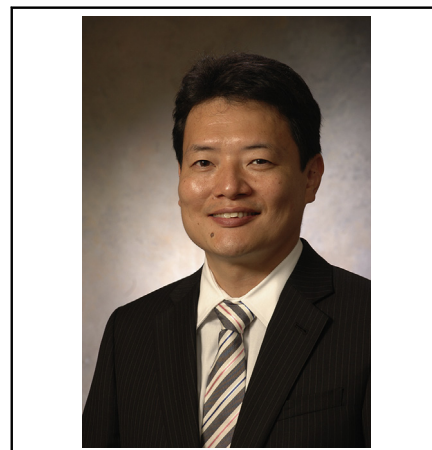
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We congratulate Squiers and colleagues¹ on their comprehensive review of extracorporeal membrane oxygenation (ECMO) therapy in an adult population with a focus on patient outcomes of various clinical indications. The article also provides an organized summary of ECMO therapy with updated fundamental features, including cannulation techniques, ECMO circuits, and a monitoring strategy for proper oxygenation in peripheral venoarterial ECMO settings that enriches the knowledge of not only ECMO therapy professionals, but also readers who are unfamiliar with this technology.

ECMO use has markedly increased since the 2009 H1N1 influenza pandemic.² After abatement of the pandemic, ECMO use continued to grow due to results from the



Takeyoshi Ota, MD, PhD

Central Message

Extracorporeal membrane oxygenation therapy plays an important role in salvage surgery and keeps evolving.

See Article page 20.

Conventional Ventilator Support versus Extracorporeal Membrane Oxygenation for Severe Adult Respiratory